

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

20.

ATTORNEY'S DOCKET NUMBER

095309.57558US U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CC	NCE	RNING A SUBMISSION UNDE	R 35 U.S.C. 371	10/576,082							
INTER	RNATIO	NAL APPLICATION NO.	INTERNATIONAL FILING	DATE	PRIORITY DATE CLAIMED						
		PCT/EP2004/010184	Septembe	er 11, 2004	October 14, 2003						
TITLE	TITLE OF INVENTION  DEVICE FOR CONTROLLING THE REPRESENTATION OF INFORMATION										
APPL	APPLICANT(S) FOR DO/EO/US										
Markus BAUMANN, et al.											
Appli	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	$\boxtimes$	The US has been elected (Article 31).									
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
		a. is attached hereto (required only if not communicated by the International Bureau).									
		b. has been communicated by the International Bureau.									
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
		a. is attached hereto.									
		b. has been previously subm	itted under 35 U.S.C. 15	54(d)(4).							
7.		Amendments to the claims of the Inter-	national Application und	ler PCT Article 19 (35 U.	S.C. 371(c)(3))						
		a. are attached hereto (requi	red only if not communic	cated by the International	l Bureau).						
		b. have been communicated	by the International Bur	eau.							
		c. have not been made; how	ever, the time limit for m	aking such amendments	has NOT expired.						
		d. have not been made and v	vill not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Three (3) pages)									
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
	Items	s 11 to 20 below concern document(s	) or information includ	led:							
11.		An Information Disclosure Statement									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.		A preliminary amendment.									
14.		An Application Data Sheet under 37 CFR 1.76.									
15.		A substitute specification.									
16.		A power of attorney and/or change of address letter.									
17.		A computer-readable form of the sequ	ence listing in accordan	ce with PCT Rule 13ter.	2 and 37 CFR 1.821- 1.825.						
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	П	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Copy of Notification of Missing Requirements dated October 20, 2006.

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

I.S. APPLICATION NO. (if known, see 37 CER 1.5)

INTERNATIONAL APPLICATION NO. (if known, see 37 CER 1.5)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER				
10/576,082			PCT/EP2004/010184			095309.57558US			
The following fees have been submitted						CALCULATIONS PTO USE ONLY			
21.	ic national fee (3	7 C.F.R. §1.492(a	a))		\$300.00	\$			
22. Exa	mination fee (37	C.F.R. §1.492(c)	)						
If the written opin	ion prepared by	ISA/US or the inte	ernational preliminary						
examination	report prepared	by IPEA/US indic	cates all claims satisfy						
	f PCT Article 33	<b>*</b>							
					\$200.00	\$	· · · · · · · · · · · · · · · · · · ·		
23. 🔲 Sea	rch fee (37 C.F.F	R. §1.492(b))							
	If the written opinion of the ISA/US or the International preliminary examination								
report prepa	ared by IPEAVUS	indicates all clain	ns satisfy provisions of P	7C I	\$0				
Search fee (37 C	Article 33(1)-(4)								
the USPTO	the USPTO as an International Searching Authority\$100								
International Sea	rch Report prepa	ared by an ISA ot	her than the US and prov	vided to					
	the Office or previously communicated to the US by the IB\$400								
All other situation				•••••	\$500	\$			
	TOTA	L OF 21, 22 AND	23 =			\$			
			iled in paper over 100 sh						
			iled in an electronic med	dium). T	he fee is				
\$250 for eac	ch additional 50 :	sheets of paper of	r fraction thereof. h additional 50 or fraction	n					
Total Sheets	Extra Sheets		n additional 50 or traction up to a whole number)	"	RATE				
- 100	/ 50 =	THOUSE VICENCE	<u> </u>		× 250	\$			
		ng the oath or de	claration later than 30 me	onths fro	m the earliest				
claimed priority d			····			\$			
CLAIMS	NUM	BER FILED	NUMBER EXTRA		RATE				
Total claims	3	- 20			x 50	\$			
Independent cla	aims	- 3			x 200	\$			
<u>'                                    </u>		(S) (if applicable)			+ 360	\$			
MULTIPLE DEPI	ENDENT CLAIM	(3) (ii applicable)	TOTAL OF ADO	)/F CAI		\$			
- <u></u>					LCULATIONS =	2			
Applicant cla	ims small entity	status. See 37 CF	R 1.27. Fees above are	e reduce					
					SUBTOTAL =	\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the									
earliest claimed	priority date (37		\$						
	<del></del>				ATIONAL FEE =	\$			
Fee for recording	the enclosed as	ssignment (37 CF	R 1.21 (h)). The assignn FR 3.28, 3.31). <b>\$40.00</b> p	nent mu:	st be	\$			
accompanied by	an appropriate C	over sneet (37 C			S ENCLOSED =	\$			
		1.4.	101	AL FLL	3 LIVELOGED -	Amount to be			
						refunded:	s		
						Amount to be			
						charged:	\$		
a. A chec	k in the amount	of \$ to cover t	he above fees is enclose	ed.					
			05-1323 (Attorney Docl	ket No.	095309.57558US	) in the amount of \$ to	cover the above fees.		
A dup	licate copy of the	nis sheet is encl	osed.						
c. 🛭 The C	ommissioner is h	ereby authorized	to charge any additiona	I fees wh	nich may be requi	red, or credit any overpay	vment to Deposit		
			No. 095309.57558US).				, = <b>0</b> ,		
_		-							
						e public. Credit card in	formation should not		
be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Crowe	ell & Moring, LLP	Sorul	dward						
Intellectual Property Group					NATURE (				
P.O. Box 14300 Gary R. Edwards									
Washington, D.C. 20044-4300 NAME 31,824									
Tel. No. (202) 624-2500 REGISTRATION NUM						MBER			
Fax No. (202) 628-8844 December 19, 2006									
GRE:tly									



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/576,082 Markus Baumann

09530957558US

INTERNATIONAL APPLICATION NO.

PCT/EP04/10184

I.A. FILING DATE

PRIORITY DATE

09/11/2004

10/14/2003

23911 CROWELL & MORING LLP INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

CONFIRMATION NO. 6722
371 FORMALITIES LETTER

\*OC000000020906145\*

Date Mailed: 10/20/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/14/2006
- English Translation of the IA filed on 04/14/2006
- Copy of the International Search Report filed on 04/14/2006
- Preliminary Amendments filed on 04/14/2006
- Information Disclosure Statements filed on 04/14/2006
- Request for Immediate Examination filed on 04/14/2006
- U.S. Basic National Fees filed on 04/14/2006
- Substitute Specification filed on 04/14/2006
- Priority Documents filed on 04/14/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

Augustal Augusta Augus

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

## A copy of this notice ${\it MUST}$ be returned with the response.

#### ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/576,082	PCT/EP04/10184	09530957558US	

FORM PCT/DO/EO/905 (371 Formalities Notice)